



PATENT

Attorney Docket No. 09812.0692-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Miki ABE et al.

Application No.: 10/005,012

Filed: December 4, 2001

For: DATA TRANSFER SYSTEM,  
DATA TRANSFER APPARATUS,  
DATA RECORDING  
APPARATUS, DATA TRANSFER  
METHOD, AND RECORDING  
MEDIUM

Group Art Unit: 2136

Examiner: David Garcia Cervetti

Confirmation No.: 9706

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

12/22/2006 CNEGA1 00000057 10005012

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180.00 OP

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Each document listed in this Information Disclosure Statement was first cited in a communication from the European Patent Office in a counterpart foreign application.

Copies of the listed non-patent literature documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

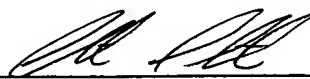
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 21, 2006

By:   
Arthur A. Smith  
Reg. No. 56,877

## Complete if Known

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

1

Application Number	10/005,012
Filing Date	December 4, 2001
First Named Inventor	Miki ABE
Art Unit	2136
Examiner Name	David Garcia Cervetti
Attorney Docket Number	09812.0692-00000

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		"SDMI Secure Digital Music Initiative," SDMI Portable Device Specification Version 1.0, Part 1, July 8, 1999, pp 1-35.	
		FRANK HARTUNG, et al., "Digital Rights Management and Watermarking of Multimedia Content for M- Commerce Applications," IEEE Communications Magazine, November 2000, Vol. 38, No. 11.	
		"Against SDMI," retrieved from Internet: <a href="http://ganges.cs.tcd.ie/undergrad/4ba2.01/group10/againstSDMI.html">http://ganges.cs.tcd.ie/undergrad/4ba2.01/group10/againstSDMI.html</a> , November 28, 2000.	
		European Search Report for Application No. 01310110.0-2223, dated July 21, 2006.	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.